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| Practitioner's Docket No. Luc- | -019 PATENT | | |
| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE | | | |
| Patent application | | | |
| of | | | |
| | Inventor(s) | | |
| forTitle | e of invention | | |
| | OR | | |
| In re application of: Mohammed Yasin | | | |
| Application No.: 10/589,601 | Group Art Unit: | | |
| Filed: August 16, 2006 | | | |
| For: Bioadhesive Compositions a | nd Their Use in Medical Electrodes | | |
| | MATION DISCLOSURE STATEMENT MONTHS OF FILING OR | | |
| BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b)) CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.) | | | |
| i hereby certify that, on the date shown below, t | - | | |
| XX deposited with the United States Postal Servi Box 1450, Alexandria, VA 22313-1450 | MAILING ce in an envelope addressed to Commissioner for Patents, P.O. | | |
| 37 C.F.R. § 1.8(a) | 37 C.F.R. § 1.10 * | | |
| XX with sufficient postage as first class mail. | as "Express Mail Post Office to Addressee" | | |
| _ | Mailing Label No (mandatory) | | |
| TRANSMISSION | | | |
| ☐ facsimile transmitted to the Patent and Tradi | Signature Signature | | |
| Date: July 26, 2007 | Leslie R. Silverstein | | |
| | (type or print name of person certifying) | | |

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f), Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittel of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 1 of 3) NOTE: 37 C.F.R. 1.98(b):

- (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.
- (2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
- (5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. § 1.136 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sent by the International Bureau, and optionally an English translation if filed in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any americas to the international preliminary examination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed cartificate of mailing under 37 C.F.R. 1.8, or Express Mail cartificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609. M.P.E.P., 8th Edition.
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 97-41, 39).

(Transmittel of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action (8-3)—page 2 of 3) NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

SIGNATURE OF PRACTITIONER

David Silverstein

(type or print name of practitioner)

Andover-IP-Law

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Customer No.:

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 3 of 3)



Practitioner's Docket No.

LUC-019

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Patent application | • |
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| of | inventor(s) |
| for | in and a |
| Title | of invention |
| the specification of which is being trans | mitted herewith |
| | OR |
| In re application of: Mohammed Yasin | |
| Application No.: 10/589,601 | Group No.: |
| Filed: August 16, 2006 | Examiner: |
| For: Bioadhesive Compositions and | d Their Use in Medical Electrodes |
| Commissioner for Patents | |
| P.O. Box 1450 | · |
| Alexandria, VA 22313-1450 | |
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| INFORMATION D | ISCLOSURE STATEMENT |
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| • | certification is optional.) |
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| AND deposited with the United States Postal Service Box 1450, Alexandria, VA 22313-1450 | ce in an envelope addressed to Commissioner for Patents, P.O. |
| 37 C.F.R. § 1.8(a) | 37 C.F.R. § 1.10 * |
| with sufficient postage as first class mail. | as "Express Mail Post Office to Addressee" |
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| ☐ facsimile transmitted to the Patent and Trade | emark Office, (571) 273-8300. |
| | Signature |
| Date: July 26, 2007 | Leslie R. Silverstein |
| | (type or print name of person certifying) |

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or fecsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Attached is form PTO-1449A.

Each of the references listed on PTO-1449A was referenced in the Specification of this Sec. 371 national stage application. Copies of the non-U.S. patent references are attached.

Respectfully submitted,

David Silverstein

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PTO/SB/08A (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

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Substitute for for 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | |
|------------------------|-----------------|--|--|
| Application Number | 10/589,601 | | |
| Filing Date | August 16, 2006 | | |
| First Named Inventor | Mohammed Yasin | | |
| Art Unit | | | |
| Examiner Name | | | |
| Attorney Docket Number | LUC-019 | | |

| U. S. PATENT DOCUMENTS | | | | | |
|------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (F known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | US- 4,273,135 | + | | |
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| | | ^{US-} 2002/0015689 | | | |
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| | FORE | IGN PATENT DOCU | IMENTS | | |
|--------------|---|---------------------|--|---|----|
| Cite No.1 | | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | T |
| | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | ., | Or Relevant Figures Appear | T⁵ |
| | JP-A-6,200,224 | 12-28-1992 | NITTO CHEM IND CO LTD | | |
| | WO 00/46319 | 08-10-2000 | FIRST WATER LIMITED | | |
| | WO 00/06214 | 02-10-2000 | FIRST WATER LIMITED | | |
| | EP-A-1,245,241 | 10-02-2002 | PROCTOR & GAMBLE CO. | | |
| | | | | | |
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| Examiner | Date | |
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| Signature | Considered | |
| | 0.00000 | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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